

THE UNIVERSITY OF CHICAGO

My residence, post office address and citizenship are as stated below next to my name.

## A METHOD FOR THE PRODUCTION OF A UNIQUE SOIL ADJUVANT FOR HORTICULTURAL AND AGRONOMIC USE

(check one)   x   is attached hereto.  
  x   was filed on 12-29-00 as  
 Application Serial No. 60/258,599,  
 and was amended on \_\_\_\_\_.  
 (if applicable)

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Prior Foreign Application(s): Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below: N/A

60/258,599  
Application Number

December 29, 2000  
Filing Date

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application: N/A

                                                                
(Serial No.) (Filing Date) (Status)

                                                                
Serial No.) (Filing Date) (Status)

                                                                
(Serial No.) (Filing Date) (Status)

                                                                
(Serial No.) (Filing Date) (Status)

                                                                
Serial No.) (Filing Date) (Status)

I do hereby appoint Paul M. Denk, Patent Office registration No. 22,598, with offices at 763 South New Ballas Road, St. Louis, Missouri 63141, Tel. No. (314) 872-8136, as my attorney and agent with full power of substitution and revocation, to prosecute the application above set forth, and to transact all business in the United States Patent and Trademark Office in connection therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the

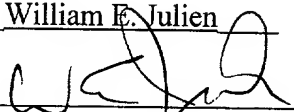


United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole inventor:

William E. Julien

Inventor's signature:



Date:

12-17-01

Residence:

Omaha

State of Nebraska

Citizenship:

U.S.A.

Post Office Address:

11515 North 84<sup>th</sup> Street

Omaha, Nebraska 68122

U.S.A.



Applicants or Patentees: Biovance Technologies, Inc. Attorney's

Docket Number: 7235

Serial or Patent No.: 60/258,599

Filed or Issued: 12/29/00

For: A Method for the Production of a Unique Soil Adjuvant for Horticultural and Agronomic Use

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c) - SMALL BUSINESS CONCERN

We hereby declare that we are

(X) the owners of the small business concern identified below:

( ) an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN: Biovance Technologies, Inc.

ADDRESS OF CONCERN: 11515 North 84<sup>th</sup> Street,  
Omaha, Nebraska 68122

We hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

We hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled

A Method for the Production of a Unique Soil adjuvant for Horticultural and Agronomic Use  
inventor(s) William E. Julien  
described in:

(X) the specification filed herewith

(X) the application Serial No. 60/258,599

( ) Patent No. \_\_\_\_\_ issued \_\_\_\_\_.



If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

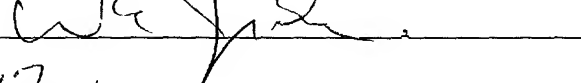
NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING William E. Julien, Ph.D.  
TITLE OF PERSON OTHER THAN OWNER President  
ADDRESS OF PERSON SIGNING 11515 North 84<sup>th</sup> Street  
Omaha, Nebraska 68122

SIGNATURE   
DATE 12.17.01